Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

http://www.senate.gov/lobby

http://lobbyingdisclosure.house.gov

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

| 1. Registrant Name ☐ Organization/Lobbying Firm ☐ Self Employed PRUDENTIAL FINANCIAL, INC. | Individual |
|--|---|
| 2. Address Check if different than previously reported Address 751 BROAD STREET City NEWARK State | Address2 Country USA |
| 3. Principal place of business (if different than line 2) City State | Zip Code - Country |
| 4a. Contact Name JOHN L. BRONSON b. Telephone Number International Number (973) 367-3949 | c. E-mail 5. Senate ID# 32338-12 |
| 7. Client Name Self Check if client is a state of PRUDENTIAL FINANCIAL, INC. | f local government or instrumentality 6. House ID# 317040000 |
| TYPE OF REPORT 8. Year 2008 Q1 (1/1 - 3/31) 9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report Termination Data INCOME OR EXPENSES - YOU MUST complete | te 11. No Lobbying Issue Activity |
| 12. Lobbying | 13. Organizations |
| INCOME relating to lobbying activities for this reporting period was: Less than \$5,000 | EXPENSE relating to lobbying activities for this reporting period were: Less than \$5,000 \$5,000 or more \$\$ 1,430,000.00 |
| Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). | 14. REPORTING Check box to indicate expense accounting method. See instructions for description of options. ✓ Method A. Reporting amounts using LDA definitions only ☐ Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code ☐ Method C. Reporting amounts under section 162(e) of the Internal Revenue Code |
| Signature Filed Electronically | Date 07/18/2008 |
| Printed Name and Title Brian W. Clymer, Vice P | resident Page 1 of 14 |

| 15. General issue area c | code INS | INSURANCE | | (one per page) | |
|---|---|---------------------------|------|---|-----|
| 6. Specific lobbying is | ssues | | | | |
| H.R. 5840, Insurance H.R. 1065, Non-Adm | e Information Act nitted and Reinsu | | | ection Act of 2007, All provisions | |
| 7. House(s) of Congre | ss and Federal agen | cies | None | | |
| | | | | | |
| | | lobbyist in this issue ar | 1 | | Lv |
| irst Name | Last Name | Suff | 1 | Covered Official Position (if applicable) | New |
| irst Name Rex | Last Name Wackerle | Suff | 1 | Covered Official Position (if applicable) | New |
| rst Name Rex Alan | Last Name Wackerle Brubake | Suff | 1 | Covered Official Position (if applicable) | New |
| irst Name Rex Alan Lynn | Last Name Wackerle Brubake Jacobs | Suff | 1 | Covered Official Position (if applicable) | New |
| Rex Alan Lynn Christopher | Last Name Wackerle Brubake Jacobs Payne | Suff | 1 | Covered Official Position (if applicable) | New |
| Alan Lynn Christopher Bryan | Last Name Wackerle Brubake Jacobs Payne Pickel | Suff | 1 | Covered Official Position (if applicable) | |
| Alan Lynn Christopher Bryan Brian W. | Last Name Wackerle Brubake Jacobs Payne Pickel Clymer | Suff | 1 | Covered Official Position (if applicable) | |
| Alan Lynn Christopher Bryan Brian W. Maureen | Last Name Wackerle Brubake Jacobs Payne Pickel Clymer Adolf | Suff | 1 | Covered Official Position (if applicable) | |
| Rex Alan Lynn Christopher Bryan Brian W. | Last Name Wackerle Brubake Jacobs Payne Pickel Clymer | Suff | 1 | Covered Official Position (if applicable) | |

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Page 2 of 14

- H.R. 493, Genetic Information Nondiscrimination Act of 2007, All provisions H.R. 5611, National Association of Registered Agents and Brokers Reform Act of 2008, All provisions
- S. 40, National Insurance Act of 2007, All provisions
- S. 349, Small Business and Work Opportunity Act of 2007, All provisions
- S. 929, Nonadmitted and Reinsurance Reform Act of 2007, All provisions
- S. 1753, Healthy Workforce Act of 2007, All provisions
- S. 1865. Life Insurance Fairness for Travelers Act of 2007. All provisions
- S. 1893, Childrens Health Insurance Program Reauthorization Act of 2007, All provisions
- S. 2285, Terrorism Risk Insurance Program Reauthorization Act of 2007, All provisions

[No Bill Number], Systematic Risk Reduction Act of 2008 - Financial Services Roundtable issued a blue ribbon report and recommendations were used to create draft legislation

[No Bill Number]. Creation of an Office of National Insurance Policy and Risk Oversight

- H.R. 3800, Promoting Health Information Technology Act, All provisions
- S.1758, CLASS Act, All provisions

| 15. General issue area c | code FIN FINANCIAL I | INSTITUTIONS/INVESTMEN | TS/SECURITIES (one per page) | |
|---|---|-----------------------------------|---|-----|
| 16. Specific lobbying is | ssues | | | |
| H.R. 3046, Social Se H.R. 2419, Farm Bill | Security Number Protection Security Number Privacy and Extension Act of 2007, All Protect Yourself Against Cy | d Identity Theft Pr provisions | evention Act of 2007, All provisions | |
| 17. House(s) of Congre | ss and Federal agencies | Check if None | | |
| | | | | |
| | | | | |
| | dual who acted as a lobbyist in | 1 | Covered Official Position (if applicable) | New |
| First Name | dual who acted as a lobbyist in Last Name Wackerle | this issue area | Covered Official Position (if applicable) | New |
| First Name | Last Name | 1 | Covered Official Position (if applicable) | New |
| First Name Rex Alan | Last Name Wackerle | 1 | Covered Official Position (if applicable) | New |
| First Name Rex Alan Lynn | Last Name Wackerle Brubaker | 1 | Covered Official Position (if applicable) | New |
| Rex Alan Lynn Christopher | Last Name Wackerle Brubaker Jacobs | 1 | Covered Official Position (if applicable) | New |
| Rex Alan Lynn Christopher | Last Name Wackerle Brubaker Jacobs Payne | 1 | Covered Official Position (if applicable) | |
| Rex Alan Lynn Christopher | Last Name Wackerle Brubaker Jacobs Payne | 1 | Covered Official Position (if applicable) | |
| 18. Name of each indiving First Name Rex Alan Lynn Christopher Brian W. | Last Name Wackerle Brubaker Jacobs Payne | 1 | Covered Official Position (if applicable) | |
| Rex Alan Lynn Christopher | Last Name Wackerle Brubaker Jacobs Payne | 1 | Covered Official Position (if applicable) | |
| Rex Alan Lynn Christopher Brian W. | Last Name Wackerle Brubaker Jacobs Payne | Suffix | | |

| H.Res. 552, Resolution Calling on the Peoples Republic of China to Remove Barriers to US Financial Services Firms Doing Business in China, All provisions H.R. 1427, Federal Housing Finance Reform Act of 2007, All provisions H.R. 3321, American Housing Rescue and Foreclosure Act of 2008, All provisions S. 806, Consumer ID Protection and Security Act, All provisions |
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 $\underline{ \text{Printed Name and Title} } \ \underline{ \text{Brian W. Clymer, Vice President} }$

| 5. General issue area code | RET | RETIREMENT | (one per page) | |
|---|---|---|---|-----|
| 6. Specific lobbying issue | es | | | |
| | | etirement Security Act of | 2007, All provisions | |
| I.R. 3765, Defined Cor | ntribution Plan Fe | ee Transparency Act of 2 | · · · · · · | |
| I.R. 2167, Automatic IF I.R. 2205, Retirement 9 | | • | | |
| 7. House(s) of Congress a | | · | | |
| J.S. HOUSE OF REI | PRESENTATIV | /ES, U.S. SENATE, La | bor - Dept of (DOL) | |
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| 8. Name of each individual | l who acted as a lob | byist in this issue area | | |
| | | | | _ |
| irst Name | Last Name | Suffix | Covered Official Position (if applicable) | Nev |
| | Last Name Wackerle | Suffix | Covered Official Position (if applicable) | Nev |
| Rex | | Suffix | Covered Official Position (if applicable) | Nev |
| rst Name Rex Alan _vnn | Wackerle Brubaker | Suffix | Covered Official Position (if applicable) | Nev |
| Rex Alan _ynn | Wackerle Brubaker Jacobs | Suffix | Covered Official Position (if applicable) | Nev |
| Rex Alan _ynn Christopher | Wackerle Brubaker Jacobs Payne | Suffix | Covered Official Position (if applicable) | Nev |
| Rex Alan _ynn Christopher Bryan | Wackerle Brubaker Jacobs Payne Pickel | Suffix | Covered Official Position (if applicable) | |
| Rex Alan Lynn Christopher Bryan Brian W. | Wackerle Brubaker Jacobs Payne Pickel Clymer | Suffix | Covered Official Position (if applicable) | |
| Rex Alan Lynn Christopher Bryan Brian W. | Wackerle Brubaker Jacobs Payne Pickel Clymer Wood | Suffix | Covered Official Position (if applicable) | |
| Rex Alan _ynn Christopher Bryan Brian Wee | Wackerle Brubaker Jacobs Payne Pickel Clymer Wood Kinstlick | Suffix | Covered Official Position (if applicable) | |
| Rex Alan Jynn Christopher Bryan Brian W. Lee Riva | Wackerle Brubaker Jacobs Payne Pickel Clymer Wood | Suffix | Covered Official Position (if applicable) | |
| Rex Alan Jynn Christopher Bryan Brian W. Lee Riva Sanford | Wackerle Brubaker Jacobs Payne Pickel Clymer Wood Kinstlick Koeppel | Suffix Suffix Fic issues listed on line 16 ab | | |
| Rex Alan _ynn Christopher Bryan Brian W. _ee Riva Sanford | Wackerle Brubaker Jacobs Payne Pickel Clymer Wood Kinstlick Koeppel | | | |
| Rex Alan Lynn Christopher Bryan Brian W. Lee Riva Sanford | Wackerle Brubaker Jacobs Payne Pickel Clymer Wood Kinstlick Koeppel | | | |
| Rex Alan _ynn Christopher Bryan Brian W. _ee Riva Sanford | Wackerle Brubaker Jacobs Payne Pickel Clymer Wood Kinstlick Koeppel | | | |

- H.R. 6143, Pension Protection Act ERISA Amendments of 2008, All provisions
- H.R. 3088, Long Term Care Act of 2007, All provisions
- H.R. 3363, Long-Term Care Affordability and Security Act of 2007, All provisions
- H.R. 6357, Protecting Records, Optimizing Treatment, and Easing Communication through

Healthcare Technology Act of 2008, All provisions

- H.R.6382, Pension Technical Corrections, All provisions
- H.R. 4150, Lifetime Pension Annuity for You Act of 2007, All provisions
- H.R. 3361, Pension Protection Technical Correction Act of 2007, Provisions related to guaranteed lifetime income
- S. 1010, Retirement Security for Life Act of 2007, All provisions
- S. 1141, Automatic IRA Act of 2007, All provisions
- S. 1725, Restoring Pension Promises to Workers Act, All provisions
- S. 1974, Pension Protection Technical Correction Act of 2007, All provisions
- S. 2473, Defined Contribution Fee Disclosure Act of 2007, All provisions
- [No Bill Number], Annuity Default Distribution Fiduciary Protection, ERISA protection for 401(k) plans with annuity options
- [No Bill Number], Issues relating to frozen pension plans
- [No Bill Number], QDIA Guidance and Clarifications, All provisions. Comments to Department of Labor's proposed rule changes

| ADDENDUM for General Lobbying Issue | RET - RETIREMENT |
|-------------------------------------|------------------|

| | Name | | Covered Official Position (if applicable) | New |
|---------|------|--------|---|------------|
| | _ | | | |
| First | Last | Suffix | | |
| Richard | Saxe | | | V |
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Printed Name and Title Brian W. Clymer, Vice President

| 5. General issue area | code TAX TAXATI | ON/INTERNAL REVE | NUE CODE (one per page) | |
|--|--|--------------------|---|-----------|
| 6. Specific lobbying | | - | | |
| H.R. 1509, A bill to active financing inco H.R. 4195, Tax Tecl | amend the Internal Revenu | 07, All provisions | ermanently extend the Sub-part F exem | ption for |
| | ress and Federal agencies REPRESENTATIVES, | Check if None | | |
| | | | | |
| 8. Name of each indiv | vidual who acted as a lobbyist in | n this issue area | | |
| irst Name | Last Name | n this issue area | Covered Official Position (if applicable) | New |
| rst Name | Last Name Wackerle | 1 | Covered Official Position (if applicable) | New |
| rst Name Rex Alan | Last Name Wackerle Brubaker | 1 | Covered Official Position (if applicable) | New |
| rst Name Rex Ilan ynn | Last Name Wackerle Brubaker Jacobs | 1 | Covered Official Position (if applicable) | New |
| rst Name Rex Alan Lynn Christopher | Last Name Wackerle Brubaker Jacobs Payne | 1 | Covered Official Position (if applicable) | New |
| Rex Alan Lynn Christopher | Last Name Wackerle Brubaker Jacobs Payne Pickel | 1 | Covered Official Position (if applicable) | |
| Rex Alan Lynn Christopher Bryan Brian W. | Last Name Wackerle Brubaker Jacobs Payne | 1 | Covered Official Position (if applicable) | |
| Rex Alan Lynn Christopher | Last Name Wackerle Brubaker Jacobs Payne Pickel Clymer | 1 | Covered Official Position (if applicable) | |
| Rex Alan Lynn Christopher Bryan Brian W. | Last Name Wackerle Brubaker Jacobs Payne Pickel Clymer | 1 | Covered Official Position (if applicable) | |
| First Name Rex Alan Lynn Christopher Bryan Brian W. | Last Name Wackerle Brubaker Jacobs Payne Pickel Clymer | 1 | Covered Official Position (if applicable) |] |

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Page 9 of 14

ADDENDUM for General Lobbying Issue Area: TAX - TAXATION/INTERNAL REVENUE CODE H.R. 5719, The Taxpayer Assistance and Simplification Act of 2008, All provisions H.R. 6049, The Renewable Energy and Job Creation Act, Extender provisions H.R. 6110, Roadmap for Americas Future Act of 2008, Corporate and international provisions S. 940, To amend the Internal Revenue Code of 1986 to permanently extend the Subpart F exemption for active financing purposes. All provisions H.R. 3963, Childrens Health Insurance Program Reauthorization, All provisions H.R. 3996, Temporary Tax Relief Act of 2007, All provisions S. 2666. Affordable Housing Investment Act of 2008. All provisions H.R. 5140 [No Senate Number Available], Economic Stimulus Act of 2008, All provisions S. 3125, Energy Independence and Tax Relief Act, All provisions H.R. 3290, Trade and Globalization Assistance Act of 2007, All provisions H.R. 3970, Tax Reduction and Reform Act of 2007, All provisions H.R. 3359, Mobile Workforce State Income Tax Fairness and Simplification Act of 2007, All provisions H.R. 6275, Alternative Minimum Tax Relief Act of 2008, All provisions

| 15. General issue area code | TRD TRADE | (DOMESTIC/FC | OREIGN) (one per page) | |
|--|--|-------------------|---|------|
| 6. Specific lobbying issues | s | | | |
| H.R. 3290, Trade and | | stance Act of 200 | 7. All provisions | |
| | | | nting Legislation , All provisions | |
| | | | | |
| 17. House(s) of Congress ar | nd Federal agencies | Check if None | | |
| J.S. HOUSE OF REI | PRESENTATIVES, | | | |
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| 8. Name of each individual | l who acted as a lobbyist in | n this issue area | | |
| | | 1 | Covered Official Position (if applicable) | Ne |
| First Name | I who acted as a lobbyist in Last Name Wackerle | n this issue area | Covered Official Position (if applicable) | Ne |
| 18. Name of each individual First Name Rex Alan | Last Name | 1 | Covered Official Position (if applicable) | Ne |
| First Name Rex Alan | Last Name Wackerle | 1 | Covered Official Position (if applicable) | Ne |
| First Name Rex Alan Lynn | Last Name Wackerle Brubaker | 1 | Covered Official Position (if applicable) | Ne |
| rirst Name Rex Alan Lynn Christopher | Last Name Wackerle Brubaker Jacobs | 1 | Covered Official Position (if applicable) | Ne D |
| irst Name Rex Alan _ynn Christopher Bryan | Last Name Wackerle Brubaker Jacobs Payne Pickel | 1 | Covered Official Position (if applicable) | Ne O |
| Rex Alan Lynn Christopher Bryan | Last Name Wackerle Brubaker Jacobs Payne | 1 | Covered Official Position (if applicable) | |
| Rex Alan Lynn Christopher Bryan | Last Name Wackerle Brubaker Jacobs Payne Pickel | 1 | Covered Official Position (if applicable) | |
| First Name Rex | Last Name Wackerle Brubaker Jacobs Payne Pickel | 1 | Covered Official Position (if applicable) | |
| Rex Alan Lynn Christopher Bryan | Last Name Wackerle Brubaker Jacobs Payne Pickel | 1 | Covered Official Position (if applicable) | |
| Rex Alan Lynn Christopher Bryan Brian W. | Last Name Wackerle Brubaker Jacobs Payne Pickel Clymer | Suffix | | |
| irst Name Rex Alan Lynn Christopher Bryan Brian W. | Last Name Wackerle Brubaker Jacobs Payne Pickel Clymer | Suffix | | |
| Rex Alan Lynn Christopher Bryan | Last Name Wackerle Brubaker Jacobs Payne Pickel Clymer | Suffix | | |
| irst Name Rex Alan _ynn Christopher Bryan Brian W. | Last Name Wackerle Brubaker Jacobs Payne Pickel Clymer | Suffix | | |
| Alan Lynn Christopher Bryan Brian W. | Last Name Wackerle Brubaker Jacobs Payne Pickel Clymer | Suffix | | |

| 5. General issue area code | HOU | HOUSING | | | (one per page | e) | |
|---|-------------------------------|-----------------------------|---------------|-------|---------------------|---------------------|------|
| 6. Specific lobbying issues | | JL. | | | | | |
| 5. 2338, FHA Modernization I.R. 1427, Federal Housin S. 947, 21st Century Hous I.R. 3221, Foreclosure Pr | ng Finance F sing Act, All | Reform Act of 20 provisions | 07, All provi | sions | | | |
| 7. House(s) of Congress and | Federal ager | ncies | eck if None | | | | |
| J.S. HOUSE OF REPI | RESENTA | TIVES, U.S. S | ENATE | | | | |
| | | | | | | | |
| 8. Name of each individual v | vho acted as a | lobbyist in this iss | sue area | | | | |
| irst Name | Last Name | - | sue area | Со | vered Official Posi | tion (if applicable | e) N |
| irst Name Rex | Last Name Wackerl | e | 1 | Со | vered Official Posi | tion (if applicable | e) N |
| irst Name Rex | Last Name | e | 1 | Со | vered Official Posi | tion (if applicable | e) N |
| irst Name Rex | Last Name Wackerl | e | 1 | Со | vered Official Posi | tion (if applicable | e) N |
| irst Name Rex | Last Name Wackerl | e | 1 | Со | vered Official Posi | tion (if applicable | e) N |
| rst Name Rex | Last Name Wackerl | e | 1 | Со | vered Official Posi | tion (if applicable | e) N |
| irst Name Rex | Last Name Wackerl | e | 1 | Со | vered Official Posi | tion (if applicable | e) N |
| irst Name | Last Name Wackerl | e | 1 | Со | vered Official Posi | tion (if applicable | |
| irst Name Rex | Last Name Wackerl | e | 1 | Со | vered Official Posi | tion (if applicable | |
| irst Name Rex Alan | Last Name Wackerl Brubake | e r | Suffix | | vered Official Posi | tion (if applicable | |
| irst Name Rex Alan | Last Name Wackerl Brubake | e r | Suffix | | vered Official Posi | | |
| irst Name Rex | Last Name Wackerl Brubake | e r | Suffix | | | | |
| irst Name Rex Alan | Last Name Wackerl Brubake | e r | Suffix | | | | |

| 5. General issue area code | EDU EDUC | CATION | (one per page) | |
|---|-------------------------------|--------------------|---|---|
| 6. Specific lobbying issues | | | | |
| S. 1775, No Child Left | Behind Act of 200 | 07, All provisions | | |
| 7. House(s) of Congress and | Federal agencies | ☐ Check if None | | |
| J.S. SENATE | | | | |
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| O Name of each individual v | who posted on a labbraint i | in this issue ones | | |
| 8. Name of each individual v | vho acted as a lobbyist i | n this issue area | | |
| | vho acted as a lobbyist i | n this issue area | Covered Official Position (if applicable) | Ne |
| rst Name | Last Name | ı | Covered Official Position (if applicable) | Ne |
| rst Name Rex | Last Name Wackerle | ı | Covered Official Position (if applicable) | Ne |
| rst Name Rex | Last Name | ı | Covered Official Position (if applicable) | Ne |
| rst Name Rex | Last Name Wackerle | ı | Covered Official Position (if applicable) | Ne |
| rst Name Rex | Last Name Wackerle | ı | Covered Official Position (if applicable) | Ne |
| rst Name Rex | Last Name Wackerle | ı | Covered Official Position (if applicable) | Ne |
| rst Name Rex | Last Name Wackerle | ı | Covered Official Position (if applicable) | Ne |
| rst Name Rex | Last Name Wackerle | ı | Covered Official Position (if applicable) | |
| rst Name Rex | Last Name Wackerle | ı | Covered Official Position (if applicable) | |
| rst Name Rex | Last Name Wackerle | ı | Covered Official Position (if applicable) | Ne Ne Ne Ne Ne Ne Ne Ne |
| rst Name Rex | Last Name Wackerle | ı | Covered Official Position (if applicable) | |
| irst Name Rex | Last Name Wackerle | ı | Covered Official Position (if applicable) | |
| rst Name Rex | Last Name Wackerle | ı | Covered Official Position (if applicable) | Ne Ne Ne Ne Ne Ne Ne Ne |
| Rex Alan | Last Name Wackerle Brubaker | Suffix | | |
| Rex Alan | Last Name Wackerle Brubaker | Suffix | | |
| Rex Alan | Last Name Wackerle Brubaker | Suffix | | |
| Rex Alan | Last Name Wackerle Brubaker | Suffix | | Ne Ne Ne Ne Ne Ne |
| rst Name Rex Man | Last Name Wackerle Brubaker | Suffix | | |
| 8. Name of each individual virst Name Rex Alan 9. Interest of each foreign each | Last Name Wackerle Brubaker | Suffix | | |

| 5. General issue area co | de FOR FORI | EIGN RELATIONS | (one per page) | |
|---------------------------------------|-----------------------------|--------------------|---|-----------------|
| 5. Specific lobbying issu | ies | | | |
| No Bill Number], As rivacy issues. | sia-Pacific Economic | c Cooperation, a m | ultilateral economic and trade fo | rum, |
| 7. House(s) of Congress | and Federal agencies | ☐ Check if None | | |
| commerce - Dept c | of (DOC) | | | |
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| 3. Name of each individu | ual who acted as a lobbyist | in this issue area | | |
| | • | ı | Covered Official Position (if applicable) | l _{Ne} |
| st Name | Last Name | in this issue area | Covered Official Position (if applicable) | Ne |
| st Name | • | ı | Covered Official Position (if applicable) | Ne |
| st Name | Last Name | ı | Covered Official Position (if applicable) | I |
| st Name | Last Name | ı | Covered Official Position (if applicable) | I |
| st Name | Last Name | ı | Covered Official Position (if applicable) | I |
| st Name | Last Name | ı | Covered Official Position (if applicable) | I |
| st Name | Last Name | ı | Covered Official Position (if applicable) | I |
| st Name | Last Name | ı | Covered Official Position (if applicable) | I |
| st Name | Last Name | ı | Covered Official Position (if applicable) | I |
| st Name | Last Name | ı | Covered Official Position (if applicable) | I |
| 3. Name of each individues Name | Last Name | ı | Covered Official Position (if applicable) | I |
| st Name | Last Name | ı | Covered Official Position (if applicable) | I |
| ee ee | Last Name Wood | Suffix | | I |
| ee ee | Last Name | Suffix | | I |
| est Name ee | Last Name Wood | Suffix | | I |
| ee ee | Last Name Wood | Suffix | | I |
| ee ee | Last Name Wood | Suffix | | I |